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#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 0740-81 (liknown, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 08 February 2005 10 February 2004

**CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/EP 2005/001250 TITLE OF INVENTION METHOD AND DEVICE FOR OPERATING MIMO AIR INTERFACES IN MOBILE COMMUNICATIONS SYSTEMS APPLICANT(S) FOR DO/EO/US Gerhard KRUSE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. (Unsigned) A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Int'l Search Rpt. (PCT/ISA/210); Request (PCT/RO/101)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete. including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

IAP11 Rec'd PCT/PTO 10 AUG 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known see 37°CFR 1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER 0740-81	
21. The following fees are submitted:					Applicant use	Office use only	
a) Basic national fee\$300.00			\$300.00	\$ 300.00			
b) Examination fee					\$200.00	\$ 200.00	
			\$ 500.00				
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						-	
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)					
- 100 =	/50 =			× \$250.00		\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS	NUMBER FIL	ED	NUMBER EXTRA	RATE		\$	
Total claims	35	- 20 =	15	× \$50.00		\$ 750.00	
Independent clair			0	× \$200.00		\$ 0.00	
MULTIPLE DEPE	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$		
TOTAL OF ABOVE CALCULATIONS				\$ 750.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$	
SUBTOTAL =						\$ 1,750.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ 0.00	
TOTAL NATIONAL FEE =						\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
TOTAL FEES ENCLOSED =					NCLOSED =	\$ 1,750.00	
Amount to be refunded:						\$	
Amount to be charged					be charged		\$
a. A check in the amount of \$ 1,750.00 to cover the above fees is enclosed.							. =
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-0460 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:					$\mathcal{A}$		
The Maxham Firm					auca MIV	Marla	
					A. Maxham, Es	q.	
San Diego, CA 92121 USA							
24,483 858-587-7659 REGISTRAT					ON NUMBER		

### IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

#### **CONCERNING A FILING UNDER 35 U.S.C. 371**

In re

Applicants : Gerhard KRUSE

U.S. Application No. : UNKNOWN

International Application No. : PCT/EP 2005/001250

International Filing Date : 8 February 2005 (08.02.2005)

For : METHOD AND DEVICE FOR

OPERATING MIMO AIR INTERFACES IN MOBILE

**COMMUNICATIONS SYSTEMS** 

Priority Data : DE 10 2004 006 584.5

Filed: 10 February 2004 (10.02.2004)

Our Attorney/Docket Reference : 0740-081

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **EXPRESS MAIL LETTER**

The below items are enclosed with this letter for filing the above-identified National Phase application:

1.	Express Mail Certification	(1 page);
2.	Transmittal Letter to the U.S. Designated/Elected Office	(2 pages);
3.	Declaration for Patent Application (Unexecuted)	(3 pages);
4.	Power of Attorney (Unexecuted)	(1 page);
5.	Preliminary Amendment w/abstract, new claims 20-54	(13 pages);
6.	Substitute Specification	(10 pages);
7.	Drawing	(5 sheets);
8.	Marked up version of Specification showing changes	(12 pages);
9.	Copy of Published International Application WO 2005/078956A1	(20 pages);
10.	International Search Report (PCT/ISA/210) as published	(4 pages);
11.	PCT Request (PCT/RO/101)	(3 pages);
12.	Certified Translation	(20 pages);
13.	Check in the amount of \$1,750.00; and	
14.	Return Postcard.	

The serial number of the Express Mail certificate is EV 797358659 US, mailed on 10 August 2006.

Respectfully submitted,

a Mexha

Gerhard KRUSE

By: Lawrence A. Maxham
Attorneys for Applicant

Reg. No. 24,483

THE MAXHAM FIRM

ATTORNEYS AT LAW

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# 10/589055 IAP11 Rec'd PCT/PTO 10 AUG 2006

"EXPRESS MAIL" MAILING LABEL NO.: EV 797358659 US
DATE OF DEPOSIT: 10 August 2006
I HEREBY CERTIFY THAT THESE PAPERS AND FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 35 U.S.C. 371 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO:
MAIL STOP PCT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450.
Belinda M. Owens
(NAME)
Bilion Owens

(SIGNATURE)

Certification

#### Park Evaluations & Translations

TRANSLATOR'S DECLARATION:

Thomas L. Marky AN

August 4, 2006

I, Thomas L. Markey, hereby declare:

That I possess advanced knowledge of the German and English languages. The attached translation has been translated by me and to the best of my knowledge and belief, it accurately reflects the meaning and intention of the original text.

Thomas L. Markey

Park Case # 2980

**BEST AVAILABLE COPY**